Dear Colleagues,

This DVC(R) Bulletin #21 provides an update on important issues affecting researchers at UNSW. If you missed the previous bulletins – the contents are available at http://www.dvcresearch.unsw.edu.au/newsletter.html.

PLEASERE DISTRIBUTE TO ALL STAFF IN YOUR AREA

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1. HELP UNSW ACCESS $20M pa IN RESEARCH FUNDING

In my last Bulletin, I indicated that to access the Sustainable Research Excellence program (SRE) funding (about $20M in new research funding pa), we need ALL STAFF (Academic as well as Professional & Technical) who have been sent the SRE email to complete a short survey.

If you have been sent an email on the SRE Survey, then please log-on using your Unipass to the following website https://iaro.online.unsw.edu.au/pls/non/sre_tc_survey.main and complete the survey. You will initially be asked to select one of three statements to determine if you are required to complete the two-week diary exercise.

For those of you who are required to complete the two-week diary exercise, this is a reminder that we are now in the second week of the diary exercise.

Time spent working on ARC/NHMRC grant applications counts as “ACG time”.

The survey must be completed and submitted by Wednesday 10th March 2010.

If you have any questions about completing the survey – please contact:

- Wayne Wang - wayne.wang@unsw.edu.au; Tel: x53241
- Vicky Tzouvaras - v.tzouvaras@unsw.edu.au; Tel: x58157
- Or email sre@unsw.edu.au

Additional information on the SRE can be found at http://www.innovation.gov.au/Section/Research/Pages/SustainableResearchExcellence(SRE).aspx

2. REVIEW OF INTELLECTUAL PROPERTY POLICY UNDERWAY

The University’s IP Policy was last amended in 2005. We have now completely revised this policy and a new Draft IP Policy and associated Procedures and Guidelines have been developed for consultation. There are a number of key issues that have been addressed and resultant key changes as part of the review of the IP Policy including:
a. **Consistency with other Go8 Policies** – the revised IP Policy is now more consistent with other Go8 University IP Policies, creating a level playing field in terms of IP ownership and commercialisation.

b. **Policy, Guidelines and Procedures** – consistent with other UNSW policies, we have now split the IP policy into the Policy and then supporting Guidelines and Procedures. This separates the policy framework from the policy implementation and operation.

c. **Student IP** – the IP Policy was confusing. We have now provided a clear statement the ownership of Student IP where students own their IP.

d. **Pre-Existing IP** – we have included clear mechanisms to acknowledge and deal with Pre-Existing IP that staff members and or students bring to the University when they are employed or enrol.

e. **Copyright** – New Guidelines specifically related to Copyright have been developed.

f. **Disbursement of Net Revenue to Creators** – New Guidelines describing the disbursement of the net revenue from commercialisation of IP have been developed. These now clearly set out the process by which the University distributes income received from the commercialisation of IP.

g. **Role and Responsibilities of NSi** – the new Policy and related documents now more clearly set out NSi’s role and responsibilities as UNSW’s commercialisation arm.

h. **Disclosing and Exploiting IP** – new Procedure for disclosing and exploiting IP which clearly defines the process.

i. **Assignment of IP** – the New Policy tightens the procedures related to the assignment of IP and for the reassignment of IP to staff and students where NSi chooses not to commercialise.

Following initial discussions at the Committee on Research, the IP Policy and associated documents are open for UNSW consultation through the Policy Management website at:


Comments should be sent by email to Bronwyn Greene, b.greene@unsw.edu.au by no later than 31 March 2010.

3. **HIGHER EDUCATION RESEARCH DATA COLLECTION (HERDC)**

Once again it is that time of year, where we are to submit the annual Higher Education Research Data Collection (HERDC) Return for 2010 to the Department of Innovation, Industry, Science and Research (DIISR).

The Department uses the research income return to determine our future grants for the Research Training Scheme (RTS), Joint Research Engagement Scheme (replaces IGS), Sustainable Research Excellence Program (SRE), RIBG, CTS, and APA/IPRS scholarships.
An Information Pack will be sent out to Deans, General Managers, Associate Deans (Research), Finance Managers and relevant administrators by Friday 5th March 2010. Included in the Information Pack will be a template, the project plan with timeline, and an invitation to Faculty specific workshops on completing the templates and any faculty specific questions.

Please note that there will be a UNSW-wide HERDC presentation for all those involved in, or interested in, the HERDC Return on Wednesday 10 March 2010, 2-4pm, Level 4, Lowy Cancer Research Centre (C25). Issues covered include:

- What is the HERDC Return and why is it important?
- Faculty contributions to the HERDC return
- Research income that can and can’t be included
- What are our respective roles in the HERDC return process?
- Key dates & Questions

A combined presentation has been organised for ADFA on Friday 12 March 2010 in SL5 between 10am - 12 noon.

4. ERA UPDATE

a) 2010 Critical dates

- 26 February 2010 – Research Activity Census Deadline
- 15 March 2010 – Background Statement Deadline
- 31 March 2010 – All Data required for submission to be completed
- 15 May 2010 – Quality Assurance on Data (cleaning and verifying data)
- 1 June – 18 June – Stage 1 Submission to ARC
- 21 June – 22 July – Stage 2 – ARC Verification and checking of data
- 23 July 2010 – 29 July – Stage 3 – Certification
- 30 July 2010 – Stage 4 – Lodgement of Certification

b) Field of Research (FoR) Leaders

FoR leaders met on two occasions to discuss the strategies that will be employed in optimising the submission in each 2-digit FoR code. The updated List of Field of Research (FoR) Leaders for the UNSW ERA 2010 Submission is below:

<table>
<thead>
<tr>
<th>Code – DIVISION (Non-peer reviewed)</th>
<th>FoR Leader</th>
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<tbody>
<tr>
<td>02 Physical Sciences</td>
<td>Professor Jaan Oitmaa</td>
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<tr>
<td>03 Chemical Sciences</td>
<td>Professor Justin Gooding</td>
</tr>
<tr>
<td>04 Earth Sciences</td>
<td>Professor James Goff</td>
</tr>
<tr>
<td>05 Environmental Sciences</td>
<td>Professor Richard Kingsford</td>
</tr>
<tr>
<td>06 Biological Sciences</td>
<td>Professor Ian Dawes</td>
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<tr>
<td>07 Agricultural and Veterinary Sciences</td>
<td>Professor Paul Compton</td>
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<tr>
<td>08 Information and Computing Sciences</td>
<td>Professor Dianne Wiley</td>
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<tr>
<td>09 Engineering</td>
<td>Professor John Arnold</td>
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<tr>
<td>10 Technology</td>
<td>Professor John Arnold</td>
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<thead>
<tr>
<th>Code – DIVISION (Peer-reviewed)</th>
<th>FoR Leader</th>
</tr>
</thead>
<tbody>
<tr>
<td>01 Mathematical Sciences</td>
<td>Professor Tony Dooley</td>
</tr>
<tr>
<td>11 Medical and Health Sciences</td>
<td>Professor Denis Wakefield (BCH cluster)</td>
</tr>
<tr>
<td>11 Medical and Health Sciences</td>
<td>Professor Raina MacIntyre (PAH cluster)</td>
</tr>
<tr>
<td>12 Built Environment and Design</td>
<td>Professor Deo Prasad</td>
</tr>
<tr>
<td>13 Education</td>
<td>Professor John Sweller</td>
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c) Research Activity Census (Online)

The Research Activity Census has received approximately 50% of the target submissions from staff. It is extremely important that you respond to the Census if you have not already done so. The census will remain open to staff until mid-March to try and collect information from “stragglers”. The Associate Deans (Research) have been sent a list of staff who are yet to submit their online census to follow up.

d) ResPubs

Research outputs of staff who had not been employed by UNSW for the entire outputs reference are being uploaded in ResPubs. The ERA Office is still awaiting submission of list of publications from some 400 eligible staff. The Associate Deans (Research) were sent a list of staff who are yet to submit their list of publications to the ERA Office to follow up.

5. ARC LINKAGE PROJECT GRANT CLARIFICATION

Following the strong response from the Sector to the ARC Consultation Paper on the ARC Linkage Projects scheme, the ARC will continue to conduct two rounds of Linkage Projects each year. This is good news! Similar to previous years, the first round will open in March 2010, with the second round opening in October 2010. Funding rules and specific dates are expected shortly.

6. COMING SOON – UNSW INVENTOR OF THE YEAR AWARDS

Entries for the 2010 UNSW Inventor of the Year Awards will open on 22 March 2010. Presented annually by NewSouth Innovations (NSi), the UNSW Inventor of the Year Awards recognise and reward inventors that are making the world a better place.

Boasting a total cash prize pool of $28,000, the awards are open to UNSW academics and students who are commercialising their research with NSi, the university’s commercialisation company. This year’s awards will introduce a new Student Inventor of the Year category and a category to recognise the best Intellectual Property Notification (IPN).

NSi receives in the order of 100 new IPNs each year. These are the starting point for commercialising new inventions that can make a positive impact on the world. All IPNs submitted in the 12 months to 30 June 2010 will automatically be entered for the IPN award.

For competition details and to see 2009’s Inventor of the Year finalists and winners visit: http://www.nsinnovations.com.au/

Professor Les Field
Deputy Vice-Chancellor (Research)