# **iRECS** Reviewer Instructions

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# **Reviewing a New Application**

1. Once RECS (Animal Ethics (AE) team) has assigned you as the Spokesperson against an application, you will receive the following notification from <donotreply@infonetica.net>.

Applications to be reviewed at a meeting will have a **Meeting Date specified in the Meeting Date field** (e.g. 31 October 2023 in this case). You can opt to wait for the agenda release before you begin reviewing this application *OR* you can review the application now by **clicking on the hyperlink** specified in the email body.

From:	ERM <donotreply@infonetica.net></donotreply@infonetica.net>
To:	<ae.spokesperson@irecs.com></ae.spokesperson@irecs.com>
CC:	
Subject:	iRECS4875 - Animal Ethics Application iRECS Submission Assigned Review

Dear Dr AE SP,

 Reference Number: iRECS4875

 Project Title: ae new project

 Chief Investigator: Ms Cecilia Ting

 Submission Type: Animal Ethics Application

 Meeting Date (left blank if reviewed out of session): 31 October 2023

 If the Meeting Date field has a date specified, this means that this submission has been assigned to a meeting for review. This means that you can wait for the meeting agenda to be issued before you begin reviewing this application.

The above submission has been assigned to you for review. Login to iRECS to review the above application. You can access this submission using the following link <a href="https://irecs.review.unsw.edu.au/Timeline/Index/17026">https://irecs.review.unsw.edu.au/Timeline/Index/17026</a>. this will take you straight to the submitted application

If you have a conflict of interest against the submission, please log this on iRECS (via the 'Register Conflict' button on the left-hand panel of the iRECS application page).

Please ensure that your reviewer comments are completed within the allocated timeframe for the submission type.

Kind regards,

Research Ethics & Compliance Support (RECS) UNSW Sydney NSW 2052 AUSTRALIA E: animalethics@unsw.edu.au P: https://research.unsw.edu.au/contact-us

If you opt to wait to review your assigned application upon the issuance of the **meeting agenda**, upon receiving the agenda from the AE team, you will see a list of applications assigned to you for review. You can then <u>click on</u> <u>the hyperlink of any of your assigned applications</u> to begin the review process.



# Animal Care & Ethics Committees

Agenda for Meeting (2023/10)

A combined meeting (2023/10) of the ANIMAL CARE and ETHICS COMMITEEs (ACECs) will be held on Tuesday 31 October 2023 at 08:30 am remotely via Microsoft Teams.

If you are unable to attend this meeting, please advise RECS via email.

Please upload your spokesperson notes against your assigned iRECS submission(s) no later than 10:00 am on 29 October 2023.

11. Previously Deferred Applications

Review Link	Project Title	Chief Investigator	Spokesperson
iRECS4685	AE_New App_RECS Approve_DVCRE Approve	Dr Cecilia Ting	Dr AE SP

12. New Applications

#### click on the blue hyperlink to navigate to your assigned application

Review Link	Project Title	Chief Investigator	Spokesperson
<u>iRECS4687</u>	AE_New App_RECS Reject_DVCRE Approve	Dr Cecilia Ting	Dr AE SP
iRECS4875	ae new project	Dr Cecilia Ting	Dr AE SP
iRECS4729	AE_New Test App	Dr Cecilia Ting	Dr AE SP

 Upon clicking on the application hyperlink, you will be prompted to log into the iRECS Reviewer Portal. Click on the blue University Login button and follow the UNSW SSO prompts (specifying your UNSW login credentials) to log into the iRECS Reviewer Portal.

<u>Note</u>: UNSW SSO requires you to specify your login email in the format of <u>zid@ad.unsw.edu.au</u> (e.g. <u>z1234567@ad.unsw.edu.au</u>)



Select Login Metho	d
I am a member of the university an email address.	d have a university University Login
I am external to the university and university email address.	do not have a
	External Login

3. Once you have logged in, you will be diverted to the following **Project Timeline/Overview** page on the iRECS Reviewer portal.



4. [Conflict of Interest – skip to Step 5 if not relevant] If you have a <u>conflict of interest</u> against the assigned application, click on the **Register Conflict** button in the side panel to notify the AE team that you have a COI against this application.



A <u>Register Conflict pop-up</u> will appear, **follow the instructions in the screenshot below** to complete the register conflict fields. Once you have declared your conflict, you will <u>lose access to the application</u>.

Register	Conflict
----------	----------

-				
	Timeline Notes:	Timeline notes are not shared with Researchers	li.	
Suggested	conflicts: Ms Cecilia Ting (Chief Invest	igator,Head of School)		
sp <u>1. enter</u>	your name here		2. Check either one of the checkbox bel	wd I
Title	First Name	Last Name	Register Project and Submission	Register Submission 🔶
Dr	AE	SP	Check this box if you <u>cannot review this and all other</u> <u>submissions</u> pertaining to this project, i.e. subsequent modifications, compliance reports, adverse event reports etc.	Check this box if your COI is limited to this submission only, i.e. you can review other submissions for this project, i.e. subsequent modifications, compliance reports, adverse event
				3. Register Conflict Close

5. Should you have no conflict, to begin the review process, click on the **Review Application** button on the left-hand panel.



6. You will land on the following project navigation page, click on the **Before you start** hyperlink to begin reviewing application content.

	iRECS (R	Reviewer)	Work Area Me	eetings Contacts	Reports Help-	Dr AE SP <del>▼</del>		
٧	Vork Area							
	Actions				Revi	ew Reference: iRECS487	75	
Timeline	View as PDF	Documents						
0	0		Project Id:	487	5	Version:	Beta	
Panel	Changes							:
comments								(
			Δnima	l Ethics Δ	polication			□ Show Inactive Sections
			Anima Section	l Ethics A	pplication	Questions		Show Inactive Sections
			Anima Section Before you star	I Ethics A	pplication	Questions Before you start		☐ Show Inactive Sections
			Anima Section Before you star Submission Typ	I Ethics A	pplication	Questions           Before you start           Submission Type		□ Show Inactive Sections
			Anima Section Before you star Submission Typ Project Person	I Ethics A	pplication	Questions         Before you start         Submission Type         Project Personnel		☐ Show Inactive Sections
			Anima Section Before you star Submission Typ Project Personi A. Administratio	I Ethics A	pplication	Questions         Before you start         Submission Type         Project Personnel         Administration		☐ Show Inactive Sections
			Anima Section Before you star Submission Typ Project Persona A. Administratic B. Project Over	I Ethics A rt pe nel on rview	pplication	Questions         Before you start         Submission Type         Project Personnel         Administration         Project Overview		☐ Show Inactive Sections
			Anima Section Before you star Submission Typ Project Person A. Administratic B. Project Over C. Animal Use	I Ethics A rt pe nel on rview & Numbers	pplication	Questions         Before you start         Submission Type         Project Personnel         Administration         Project Overview         Animal Use & Numbers		☐ Show Inactive Sections
			Anima Section Before you star Submission Typ Project Personn A. Administratio B. Project Over C. Animal Use D. Impact on Au	I Ethics A rt pe nel on rview & Numbers .nimal Wellbeing	pplication	Questions         Before you start         Submission Type         Project Personnel         Administration         Project Overview         Animal Use & Numbers         Impact on Animal Wellber	ang	□ Show Inactive Sections

7. <u>Familiarise yourself</u> with the instructions on the **Before you start** page. Once done, click the **Next** button to navigate to the next page.

<u>Note</u>: If you have any <u>general/summary comments</u> on an application, we suggest you leave such comments on this page. We will cover how you can leave your reviewer comments in Step 9 below.

	irecs (F	Reviewer)	Work Area Meetings	Contacts Reports Help-	Dr AE SP <del>▼</del>		
V	Vork Area						
	Actions		Animal E	thics Applic	ation		
Erevious	Next	1 Navigate	Project Title: ae new project				
Fiewious	NEXL	Navigate	Project Id:	4875	Version:	Beta	
Timeline	View as PDF	Documents					
0 Panel	O Changes	<b>O</b> New Comment	Before you star	t			9
comments			Note: Below is some t	nelpful guidance completing this form. I	Please note that the session will time out after	30 minutes of inactivity. It is adv	ised that you regularly
			save to ensure no content is lost. The form accepts plain text only (no special formatting). You can upload attachments to the form if special formatting is required (e.g. charts, illustrations etc.) <b>1. Familiarise yourself with the buttons on the left hand panel</b>				
			To save your form progress select the 'Save' button. Note: iRECS sessions will expire after 30 minutes of inactivity. Note: (i) iRECS will auto-save form content upon clicking on the "Previous" and/or "Next" buttons and, (ii) the 'View As Table' feature in the main form will only become activated (i.e. populate with specified data) after the form content is saved.				

8. The first page you will land on is the **Submission Type** page, this is where the applicant/researcher indicates whether they are submitting a new AE Application, an AE Modification (personnel/project), or an Externally Approved Application. Click the **Next** button to navigate to the next page.

	iRECS (F	Reviewer)	Work Area Meetings	Contacts Reports Hel	p≁ Dr AE SP≁			
V	Vork Area		Animal E	thics Appli	cation			
	Actions		Project Title: ae new projec	t				
Previous	(Next	<b>1</b> Navigate	Project Id:	4875	Vers	ion:	Beta	
	-	<i>M</i>						
Timeline	View as PDF	Documents	Submission Ty	pe				9
O Panel Comments	Changes	B New Comment	Indicate the subn	nission type:				
			Animal Ethic Submission of an <u>Ar</u> Committees.	es Application	iding revision requests), to	be reviewed by the UNS	W Animal Care and Ethic	5
			O Animal Ethic Submission of an <u>ar</u> Committees	cs Modification nendment to an approved An	imal Ethics Application, to	be reviewed by the UNS	W Animal Care and Ethic	s
			O Externally A Animal Ethics Applic	pproved Application cation approved through anot	her institution <u>for noting</u> by	the UNSW Animal Care	and Ethics Committee.	

9. [Leaving Reviewer Comments] If you wish to leave a comment against any part of the AE application, please follow the following steps:

<u>Note</u>: The applicant can only view the reviewer comments <u>after</u> RECS has reviewed the reviewer comments and has returned the application to the applicant for revision. They cannot view the reviewer comments when the application is under review by the SP/Committee.

i. Click on the **New Comment** button in the left-hand panel.



ii. The form sections you can leave comments against will light up as follows. **Click on the section** you wish to leave your comments against.

rioječi reisolini		Ŷ
Chief Investigator	Click on any part of the form section you wish to leave a reviewer comment against.	Select Me
Note: If you wish you button in the left-han	amend a collaborator's read/write/edit access, please navigate into the AE project page and use d panel to remove the collaborator's existing access, then enter and share the new access.	the 'Roles'
Title	Ms	
First Name	Cecilia	
Surname	Ting	
Contact Number	n/a	
zID	z3533982	

iii. The <u>Add Comment pop-up will appear</u>. Select the **Change Request** (if your comment pertains to a change request) and **Visible to Applicant** (this is to ensure the applicant will see the reviewer comments when RECS has returned the application to the applicant for revision). Then, **type in your comments** in the comment box. Click **Save** once done.

**<u>Note #1</u>**: The applicant can only view the reviewer comments <u>after</u> RECS has reviewed the reviewer comments and has returned the application to the applicant for revision. They cannot view the reviewer comments when the application is under review by the SP/Committee.

<u>Note #2</u>: If you are leaving comments for your Committee peers, feel free to leave both the Change Request and Visible to Applicant boxes unchecked.

Add Comment	×	
Question Title	ProjectPersonnel CI	
Change Request	✓ Change Request 1.	
Visible to Applicant	To be made visible to the applicant 2.	
Comment	this is my comment	
	4. Cancel Save	

iv. **[Edit saved comments]** Once saved, you can <u>access/edit your comments</u> by clicking on the **Panel Comments** button in the left-hand panel OR the **speech bubble** at the top right corner of the form section.



Click on either one of these buttons to view/edit your comments

A Panel Comments pop-up will then appear, click on the blue Edit button.

Panel Co	mments						×
Current Previo	ous History						
				All co	mments to be m	nade visible to the	e applicant
Title	Comment			Added	Creator	Modified	
ProjectPersonnel Cl	this is my comment	C	hange equest	20/10/2023 16:42	You		Edit
							Close

# Edit your comments as required then click Save.

Update Comm	ent	×
Question Title	ProjectPersonnel CI	
Change Request	✓ Change Request	
Visible to Applicant	✓ To be made visible to the applicant	
Comment	this is my edited comment	
Delete	Cancel	ve

If you wish to <u>delete your comment</u>, click on the **red Delete** button and click **Yes** to confirm your decision. You will notice the deleted comment no longer appears in the Panel Comments after deletion.

Update Comment *					
Question Title	ProjectPersonnel CI				
Change Request	✓ Change Request				
Visible to Applicant	✓ To be made visible to the applicant				
Comment	this is my comment		11.		
Delete		Cancel	Save		
	Are you sure you want to remove this item?				

# 10. **[Reviewing Documents/Attachments]** On iRECS, the uploaded document(s) are appended below the relevant question. Click the **Download** button to download and view the document.

# Outline of Project: B.4 Describe how the project is designed in relation to these aims in broad terms eg. treatments, groups etc. You may wish to consider the following aspects in this section: i) Whether longitudinal measurements (e.g. repeated animal imaging) can be used in lieu of separate cohorts of animals at different timepoints, in order to reduce animal numbers ii) Whether it is possible, based on experimental design, to use animals to address multiple aims iii) Steps taken to ensure scientific robustness of findings, e.g. whether studies will be repeated or complemented by orthogonal experimental models (in vitro and/or on vivo) iv) Whether it is appropriate to use "blinding" of investigators to treatment groups. NOTE: Step-by-step details of procedures should be described in B.5. Cum socils natoque penatibus et magnis dis parturient montes, nascetur ridiculus mus. Donec quam felis, ultricies nec, pellentesque eu, pretium quis, sem. Nulla consequat massa quis enim. Donec pede justo, fringilla vel, aliquet nec, vulputate eget, arcu. In enim justo, rhoncus ut, imperdiet a, venenatis vitae, justo.

A flow chart or table is encouraged to give an overview of the study design.

Туре	Document Name	File Name	Date	Version	Size	View
Default	Sample Attachment	Sample Attachment.docx			12.7 KB	Download

# If you wish to **leave comments** against an attached document, follow the instructions listed in **Step 9** above.

		attached document section.		iguiliot tilo			
A flow chart o	r table is encouraged to give	e an overview of the study design.					Select Me
Туре	Document Name	File Name	Date	Version	Size	View	
Default	Sample Attachment	Sample Attachment.docx			12.7 KB	Download	

#### 11. Continue your review until you have reviewed the entire application.

<u>*Tip*</u>: If you need to jump from one form section to another, click on the **Navigate** button in the left-hand panel, you can then click on the relevant section link to navigate directly to that section.



12. Once you have completed your review, click on the **Timeline** button in the left-hand panel to navigate to the **Project Timeline/Overview page**.



13. Click on <u>one of the following recommended outcome buttons</u> based on your (SP) assessment. Confirm the recommendation in the subsequent pop-up screen.

<u>Note</u>: Upon confirming your recommendation selection, the AE team will process the recommended outcome (+ review any reviewer comments)\* before relaying the relevant outcome to the researcher.

\*In the case where the SP recommended outcome <u>differs</u> from the Committee's recommended outcome (e.g. SP recommended Deferral; Committee recommended Subject To), RECS will relay the Committeerecommended outcome to the researcher/CI.

V	Vork Area				
	Actions			Please Note: This is a beta Submission	
3 Submissions Register Conflict	0 Project Submissions	4 Comments	1. Click on one o buttons based or	Project Title: AE Deferred Form Reference: Animal Ethics Application Review Reference: iRECS4935 the recommended outcome your assessment outcome.	
	Reco	mmend	Deferral		×
			Action Date:	Now	
			Timeline Notes:	Timeline notes are not shared with Researchers	
				2. Confirm your recommendation selection by clicking on the green button below.	
				Recommend Deferral Close	

# 14. Review complete!

<u>Note</u>: The recommended outcome will be <u>relayed to the Animal Ethics team</u> for further processing and <u>they</u> <u>will relay the relevant outcome</u> to the applicant (e.g. either returning the application back to the applicant for revision OR issuing an approval/rejection notice).

# Reviewing a Deferred Resubmission (New Application/More than Minor Mod)

The review process is largely similar to reviewing a new application - instructions outlined above.

Key difference: You will see changes made to the application. To view the changes since the previous submission:

- 1. Follow **Steps 1-5** of the previous instruction set, then return to this section and follow the following instructions.
- 2. Once on the Project Navigation page, click on the **Changes** button on the left-hand panel to view the changes since the last submission.



3. The <u>Changes pop-up</u> will display a <u>list of changes</u> that have been made to the form. Click on the **first hyperlink** to navigate to the first change that was made.



4. iRECS will **bring you directly** to the section that has been changed. The section will be <u>bordered by a light</u> <u>blue border</u>.



5. To view the <u>tracked change version</u>, scroll up to the top of the form section and click on the blue **View Changes** button.

Note: The applicant has changed an answer within this panel since the last submission. View Changes

- Scroll down again, you will see the tracked changes as follows: *Red = deleted texts; green = new texts added*
  - C.4 Explain, on the basis of the experimental design outlined in B.4, the number of animals required to produce valid data (Refer to *The Code 1.5(iii)*).

This should include details of experimental groups and group size as well as justification for these e.g., based on statistical analysis, power calculations, historical data, etc.

Nullam dictum felis eu pede mollis pretium. Integer tincidunt. Cras dapibus. Vivamus elementum semper nisi. Aenean vulputate eleifend tellus, Donec vitae sapien ut libero venenatis faucibus. Nullam quis ante.

7. If you need to view the <u>previous reviewer comment</u> that was left against this question, click on the **Panel Comments** button in the left-hand panel to access past comments. In the **Panel Comments pop-up**, click on the **Previous** tab. <u>Scroll to the desired question</u>, and the <u>reviewer's comment will be displayed</u> alongside it. <u>Tip</u>: iRECS allows multiple tabs to be open simultaneously, so you can have the panel comments open on one window and the application screen open in a separate window.

Work Area Panel Comments					×		
Actio	ns						
E	$\bigcirc$	Current Prev	Current Previous History				
Previous	Next	Note: This color	ur indicates that it was suggested the comment be made visible to the	applicant following the system	action.		
<b>1</b> Navigate	Timeline	Title	Comment	Added	Creator	Modified	Submission
ā	Di	Before you start blurb	This is a general comment about your application Previous reviewer comment left against question C4:	Change 20/10/2023 15:12 Request	You		Submission 1
View as PDF	Documents	C.4 Explain, on the basis of the	Lorem ipsum dolor sit amet, consectetuer adipiscing elit. Aenean commodo ligula eget dolor.	Change 20/10/2023 15:13 Request	You		Submission 1
4 Panel Comments	4 Changes	design, the number of animals required to achieve the aims of the project,		1			

- 8. You can **leave another comment** (i.e. change request) if the applicant has <u>not met all your update requests</u>. See **Step 9** of the previous instruction set on how to leave a reviewer comment.
- 9. If the applicant has <u>met your update request</u> for this question, you can <u>navigate to the next update</u> made by the applicant by clicking on the **Changes** button on the left-hand panel and **repeating Steps 3-8** of this instruction set until all changes are reviewed.

<u>Note</u>: The cover letter in response to the Committee's recommendations will be attached in the Attachments section of iRECS.

Changes	×
<ul> <li>C.4 Explain, on the basis of the experimental design, the number of a required to achieve the aims of the project, ensuring that the minimum animals are used to produce statistically significant results/data.</li> <li>Impact of surgical procedures</li> <li>H.12 H.12 - Please list all S8/9 Drugs to be used, the form, the streng quantity:</li> <li>Attachments</li> </ul>	animals n number of 9th and the
	Close

10. Once you have completed your review, click on the **Timeline** button in the left-hand panel to <u>navigate to the</u> <u>Project Timeline/Overview</u> page.



11. Click on <u>one of the following recommended outcome buttons</u> based on your (SP) assessment. Confirm the recommendation in the subsequent pop-up screen.

<u>Note</u>: Upon confirming your recommendation selection, the <u>AE team will process the recommended outcome</u> (+ review any reviewer comments)\* before relaying the relevant outcome to the researcher.

\*In the case where the SP recommended outcome <u>differs</u> from the Committee's recommended outcome (e.g. SP recommended Deferral; Committee recommended Subject To), RECS will relay the Committeerecommended outcome to the researcher/CI.

V	Vork Area				
	Actions			Please Note: This is a beta Submission	
3 Submissions Register Conflict	0 Project Submissions	4 Panel Comments Recommend Approval	1. Click on one of buttons based or	Project Title: AE Deferred Form Reference: Animal Ethics Application Review Reference: iRECS4935 I the recommended outcome by your assessment outcome.	
	Reco	mmend	Deferral		×
			Action Date:	Now ~	
			Timeline Notes:		
				Timeline notes are not shared with Researchers  2. Confirm your recommendation selection clicking on the green button below.	by
				Recommend Deferral Cl	ose

## 12. Review Complete!

<u>Note</u>: The recommended outcome will be <u>relayed to the Animal Ethics team for further processing</u> and they will relay the relevant outcome to the applicant (e.g. either returning the application back to the applicant for revision OR issuing an approval/rejection notice).

# Reviewing a Subject To Resubmission (New Application/More than Minor Mod)

A similar process to reviewing a resubmitted deferred application. Please follow the deferred resubmission instructions above to review a subject to resubmission.

## Key Difference:

• Submission is **reviewed out-of-session (I.e. not at a monthly Committee meeting)**, thus, please <u>review the</u> submission as soon as you receive the reviewer assignment email.

<u>Note</u>: You'll notice that the Meeting Date field of the reviewer assignment email is blank for subject to resubmissions.

From:	ERM <noreply@infonetica.net></noreply@infonetica.net>
То:	<ae.spokesperson@irecs.com></ae.spokesperson@irecs.com>
CC:	
Subject:	iRECS4863 - Animal Ethics Application iRECS Submission Assigned Review

Dear Dr AE SP,

 Reference Number: iRECS4863

 Project Title: ae

 Chief Investigator: Ms Cecilia Ting

 Submission Type: Animal Ethics Application

 Meeting Date (left blank if reviewed out of session):

 meeting date field is blank for subject to resubmission (as it is reviewed out-of-session)

The above submission has been assigned to you for review. Login to iRECS to review the above application. You can access this submission using the following link <a href="https://irecs.review.unsw.edu.au/Timeline/Index/17024">https://irecs.review.unsw.edu.au/Timeline/Index/17024</a>.

If you have a conflict of interest against the submission, please log this on iRECS (via the 'Register Conflict' button on the left-hand panel of the iRECS application \_page).

Please ensure that your reviewer comments are completed within the allocated timeframe for the submission type.

Kind regards,

Research Ethics & Compliance Support (RECS) UNSW Sydney NSW 2052 AUSTRALIA E: animalethics@unsw.edu.au

# **Reviewing a Minor Modification Submission/Resubmission - Spokesperson**

 Once RECS (Animal Ethics (AE) team) has assigned you as the Spokesperson against the minor modification submission, you will receive the following **notification from <donotreply@infonetica.net>**. Click on the **hyperlink** to begin the review process.

<u>Note</u> :	Modifications	should be	reviewed	within 5	business da	iys.

From:	ERM <donotreply@infonetica.net></donotreply@infonetica.net>
То:	<ae.spokesperson@irecs.com></ae.spokesperson@irecs.com>
CC:	
Subject:	iRECS5865 - iRECS Submission Assigned Review

Dear Spokesperson,

Reference Number: iRECS5865 Project Title: Minor Mod Workflow Example Chief Investigator: Dr Cecilia Ting Submission Type:

The above submission is pending the **Spokesperson's review**. Executives and Chair are not required at this stage. Please login to iRECS to review the above application. You can access this submission using the following link <a href="https://unswtest.review.ethicalreviewmanager.com/Timeline/Index/17111">https://unswtest.review.ethicalreviewmanager.com/Timeline/Index/17111</a>.

If you have a conflict of interest against the submission, please log this on iRECS (via the 'Register Conflict' button on the left-hand panel of the iRECS application page).

Please ensure that your reviewer comments are completed within the allocated timeframe for the submission type.

Kind regards,

Research Ethics & Compliance Support (RECS) UNSW Sydney NSW 2052 AUSTRALIA E: animalethics@unsw.edu.au P: https://research.unsw.edu.au/contact-us

> <u>Alternatively</u>, you can **log into the** <u>iRECS Reviewer portal</u> and access your assigned submission via the **My Reviews** tile in your Work Area.



**Click on any part of the submission** to navigate into the project. **Note:** Modifications submissions will be listed as <u>Animal Ethics Modification</u> – indicated below.

↓i	Review Reference	Application Type	Review Submission Date	Project Id	↓ Project Title ↓
~	I■ iRECS5865	Animal Ethics Modification	01/11/2023 11:53	5865	Minor Mod Workflow Example

Once you are on the Project Overview page, click on the **Review Application** button on the side panel to review the minor modification submission.
 Note #1: The steps to review a minor modification submission are the same as that of a new application,

please refer to **Steps 3 – 11** of the <u>**Reviewing a new application in iRECS**</u> instruction set for review instructions.

**<u>Note #2</u>**: Keep in mind that if you are reviewing a minor modification submissions/<u>resubmission</u>, you will see track changes in revised sections of the form.



3. Under the **Submission Type** section, you can view details of the <u>modification</u>, <u>modification justification</u> as well as <u>previously approved modifications</u> (if any).

Animal Ethics Modification

	AEO Modification #N A6 Response: Lorem ipsum dolor sit amet, consectetuer adipiscing elit. Aenean commodo ligula eget dolor. Aenean massa. Cum sociis natoque penatibus et magnis dis parturient montes, nascetur ridiculus mus. Donec quam felis, ultricies nec, pellentesque eu, pretium quis, sem. Nulla consequat massa quis enim. Donec pede justo, fringilla vel, aliquet nec, vulputate eget, arcu. In en justo, rhoncus ut, imperdiet a, venenatis vitae, justo. Nullam dictum felis eu pede mollis pretium. Integer tincidum. Cras dapibus. Vivamus elementum semper nisi. Aenean vulputate eleifend tellus. Aenean le ligula, portitor eu, consequat vitae, eleifend ac, enim. Aliquam lorem ante, dapibus in, viverra quis, feugiat a, tellus. Phasellus viverra nulla ut metus varius laoreet. Quisque rutrum. Aenean imperdiet. Etiam ultricies nisi vel augue. Curabitur ultancorper ultricies nisi. Nam eget dui. Etiam rhoncus.
	AEO Modification #N B1 Response: Vestibulum purus quam, scelerisque ut, mollis sed, nonummy id, metus. Nullam accumsan lorem in dui. Cras ultricies mi eu turpis hendrerit fringilla. Vestibulum ante ips, primis in faucibus orci luctus et ultrices posuere cubilla Curae; In ac dui quis mi consectetuer lacinia. Nam pretium turpis et arcu. Duis arcu tortor, suscipit eget, imperdiet nec, imperdiet laculis, ipsum. Sed aliquam ultrices mauris. Integer ante arcu, accumsan a, consectetuer eget, posuere ut, mauris. Praesent adipiscing. Phasellus ullamcorper ipsum rutrum nunc. Nunc nonummy metus. Vestibulum volutpat pretium libero. Cras id dui. Aenean ut eros et nisi sagittis vestibulum. Nullam nulla eros, ultricies sit amet, nonummy id, imperdiet feugiat, pede. Sed lectus. Donec mollis hendrerit risus. Phasellus nec sem ii justo pellentesque facilisis. Etiam imperdiet imperdiet orci. Nunc nec neque. Phasellus leo dolor, tempus non,
asi	e provide a brief summary of the newly proposed modification(s):
	this is my new modification
as	e provide justification for the requested modification(s):

4. Once you have completed your review, click on the **Timeline** button in the left-hand panel to navigate to the **Project Timeline/Overview page**.



- 5. On the Project Overview page, click on <u>one of the three following action buttons</u> in the side panel based on your (SP) assessment:
  - Return to Applicant: <u>Click on this button</u> should you wish to return the submission to the applicant for revision before it is relayed to the Executives and Chair for review. <u>Confirm your selection</u> in the pop-up.
     <u>Note</u>: Pressing this button will return the submission to the applicant directly, so please make sure your reviewer comments are <u>made visible to the applicant and in an appropriate format to be seen by the applicant</u> (refer to Step 9iii of the <u>Reviewing a new application in iRECS</u> instruction set) <u>before</u> pressing the Return to Applicant button.

		Return to Applicant					×
1.		Note: This action will make marked commer	its visible to the applicant, please ensure you are happy with them before cl	icking Return to Applicant			
Return Applic	n to ant	Action Date: Timeline Notes:	Now Timeline notes are not shared with Researchers	•			
					2.	Return to Applicant	Close

 Request Cat C Exec Review: <u>Click on this button</u> should you wish to relay the submission <u>to the</u> <u>Category C Executive</u>\* for review. In the pop-up, <u>leave your (SP) comments including a summary of the</u> <u>proposed modification and your recommendation to the Executives/Chair</u> in the Timeline Notes section, then click on the green <u>Request Cat C Exec Review button</u> to complete the process.

\*<u>Note</u>: *iRECS* requires the Executives to conduct their review sequentially, i.e. Category C Executive will conduct his review first and the Category A Executive review will then follow. The SP comments can be accessed by the Executives and Chair.

	Request Cat C Exec Re	view		×
1.	Action Date:	Now	~	
	Timeline Notes:	2. Enter your (SP) comments in this box here.		
Exec Review		Timeline notes are not shared with Researchers	3	
			Request Cat C Exec Review	lose

• **Escalate to Chair**: <u>Click on this button</u> should you wish to escalate the submission <u>directly to the Chair</u> for review (i.e. bypassing the Executives). In the pop-up, <u>leave your (SP) comments to the Chair</u> in the Timeline Notes section, then click on the green <u>Escalate to Chair button</u> to complete the escalation process.

**<u>Note</u>**: The Escalate to Chair function should not be used as part of the normal minor modification workflow and is primarily designed to <u>escalate modifications considered to be 'more than minor'</u> in nature to the Chair for full Committee review.

4	Escalate to Chair ×	¢
	Action Date: Now 🗸	
Escalate to Chair	Timeline Notes: Enter your (SP) comments to the Chair in this box here.	
	3. Escalate to Chair Close	]

# 6. Review Complete!

**<u>Note</u>**: The Executive C will receive an email asking him/her to log into iRECS to review the minor modification submission. After which, Executive A's and then the Chair's review will follow. Once the Chair has relayed the recommended outcome to the Animal Ethics team, the team will help process and relay the relevant outcome to the applicant.

# Reviewing a Minor Modification Submission/Resubmission – Category C Executive

Once the SP has relayed the minor modification submission to you (Category C Executive) for review, you will
receive the following notification from <donotreply@infonetica.net>. Click on the hyperlink to begin the
review process.

From:	ERM <donotreply@infonetica.net></donotreply@infonetica.net>
То:	<ae.executive@irecs.com>;</ae.executive@irecs.com>
CC:	
Subject:	iRECS5865 - iRECS Submission Assigned for Category C Executive Review

Dear Assigned Category C Executive,

Reference Number: iRECS5865 Project Title: Minor Mod Workflow Example Chief Investigator: Dr Cecilia Ting Submission Type:

The above submission now requires **Category C Executive** review. Category A Executive review will follow Category C Executive review. Login to iRECS to review the above application. You can access this submission using the following link <a href="https://unswtest.review.ethicalreview.manager.com/Timeline/Index/17111">https://unswtest.review.ethicalreview.manager.com/Timeline/Index/17111</a>.

If you have a conflict of interest against the submission, please log this on iRECS (via the 'Register Conflict' button on the left-hand panel of the iRECS application page).

Please complete your review and make your recommendation as soon as possible.

Kind regards,

Research Ethics & Compliance Support (RECS) UNSW Sydney NSW 2052 AUSTRALIA E: animalethics@unsw.edu.au P: https://research.unsw.edu.au/contact-us

<u>Alternatively</u>, you can **log into the <u>iRECS Reviewer portal</u>** and access your assigned submission via the **My Reviews** tile in your Work Area.



#### Click on any part of the submission to navigate into the project.

↓i	↓↑	Review Reference	Application ↓↑ Type	Review Submission	Pr ↓î <sup>Id</sup>	roject d tî Project Title	Applicant Title	Applicant First Name	Applicant Last ↓↑ Name
~	<b> </b> =	iRECS5865	Animal Ethics Modification	01/11/2023 11:53	586	865 Minor Mod Workflow Example	Dr	Animal	Ethics

i

2. Once you have landed on the Project Overview page, you can <u>view the SP comments to the Executives/Chair</u> by clicking on the **Request Cat C Exec Review** timeline action below. Click **Close** to close the pop-up.

Timeline	e Project	Do	cuments	Data	Contacts	Time KPIs	
€ Zoom In	<b>Q</b> Zoom Out		Event In	format	tion		×
			Event:		Request Cat C Exec Re	eview	
			Start Date:		Wednesday, November	1, 2023	
			Recorded Date	:	Wednesday, November	1, 2023	
			Clock Action:		None		
			Status Before:		Under Review (Minor M	lod)	
			Status After:		Pending Executive C R	ecommendation	
0:00	04:00	08:00	Attachment:				
Tuesday 31 C	October 2023		Performed By:	– E	SP name will appear h	ere	3
		7	Timeline Notes	: [	SP comments will appe	ear here	
Search eve	ents	/'					
			Action Detail:				
Action							n
Request Cat	C Exec Review*						Close

 Click on the Review Application button on the side panel to review the minor modification submission. <u>Note #1</u>: The steps to review a minor modification submission are the same as that of a new application, please refer to Steps 3 – 11 of the <u>Reviewing a new application in iRECS</u> instruction set for review instructions.

**<u>Note #2</u>**: Keep in mind that if you are reviewing a minor modification <u>resubmission</u>, you will see track changes in revised sections of the form.



7. Once you have completed your review, click on the **Timeline** button in the left-hand panel to navigate to the **Project Timeline/Overview page**.



5. Once you have landed on the **Project Timeline/Overview** page, click on the **Request Cat A Exec Review** button in the side panel to <u>relay the submission to the Category A Executive</u>\* for review. In the pop-up, <u>leave</u> your comments to the Executive/Chair in the Timeline Notes section, then click on the green <u>Request Cat A Exec Review button</u> to complete the process.

\*<u>Note</u>: *iRECS* requires the Executives to conduct their review sequentially, i.e. Category C Executive will conduct his review first and the Category A Executive review will then follow. Your comments can be accessed by all Executive Committee members.

	Request Cat A Exec Review	×
1.	Action Date: Now	~
Request Cat A	Timeline Notes:	ur comments for the Exec/Chair here. 2.
Exec Review	Timeline	tes are not shared with Researchers
		3. Request Cat A Exec Review Close

# 8. Review Complete!

<u>Note</u>: The Executive A will receive an email asking him/her to log into iRECS to review the minor modification submission. After which, the Chair's review will follow. Once the Chair has relayed the recommended outcome to the Animal Ethics team, the team will help process and relay the relevant outcome to the applicant.

# Reviewing a Minor Modification Submission/Resubmission – Category A Executive

 Once the Category C Executive has relayed the minor modification submission to you (Category A Executive) for review, you will receive the following **notification from <donotreply@infonetica.net>**. Click on the **hyperlink** to begin the review process.

From:	ERM <noreply@infonetica.net></noreply@infonetica.net>
То:	<ae.executive2@irecs.com></ae.executive2@irecs.com>
CC:	
Subject:	iRECS5865 - iRECS Submission Assigned for Category A Executive Review

Dear Assigned Category A Executive,

Reference Number: iRECS5865 Project Title: Minor Mod Workflow Example Chief Investigator: Dr Cecilia Ting Submission Type:

The above submission has been reviewed by Category C Executive and now requires **Category A Executive review**. Login to iRECS to review the above application. You can access this submission using the following line <a href="https://unswtest.review.ethicalreviewmanager.com/Timeline/Index/17111">https://unswtest.review.ethicalreviewmanager.com/Timeline/Index/17111</a>

If you have a conflict of interest against the submission, please log this on iRECS (via the 'Register Conflict' button on the left-hand panel of the iRECS application page).

Please complete your review and make your recommendation as soon as possible.

Kind regards,

Research Ethics & Compliance Support (RECS) UNSW Sydney NSW 2052 AUSTRALIA E: animalethics@unsw.edu.au P: https://research.unsw.edu.au/contact-us

<u>Alternatively</u>, you can **log into the <u>iRECS Reviewer portal</u>** and access your assigned submission via the **My Reviews** tile in your Work Area.



#### Click on any part of the submission to navigate into the project.

↓≞	.↓↑	Review Reference	.↓†	Application Type	↓↑	Review Submission Date	↓↑	Project Id	11	Project Title	↓↑	Applicant Title	.↓↑	Applicant First Name	↓†	Applicant Last Name
~		iRECS5865		Animal Ethics Modification		01/11/2023 11:53		5865		Minor Mod Workflow Example		Dr		Animal		Ethics

 Once you have landed on the Project Overview page, you can <u>view the SP and Category C Executive</u> <u>comments</u> by clicking on the **Request Cat C Exec Review** (to view SP comment) and **Request Cat A Exec Review** (to view Cat C Exec comment) timeline actions below. Click **Close** to close the pop-ups.

Timeline	Project	Documents Data C	Contacts	Time KF	PIs				
⊕ Zoom In	Event Inforr	nation	×	Event Information					
	Event:	Request Cat C Exec Review			Event:	Request Cat A Exec Review			
	Start Date:	Wednesday, November 1, 2023			Start Date:	Wednesday, November 1, 2023			
	Recorded Date:	Wednesday, November 1, 2023		Recorded Date:	Wednesday, November 1, 2023				
	Clock Action:	None		Clock Action:	None				
	Status Before:	Under Review (Minor Mod)		Status Before:	Pending Executive C Recommendation				
	Status After: Pending Executive C Recommendation				Status After:	Pending Executive A Recommendation			
	Attachment:				Attachment:				
	Performed By:	SP Name			Performed By:	Cat C Exec Name			
00	Timeline Notes:	SP Comments will appear here			Timeline Notes:	Cat C Exec Comment will appear here			
Tuesday 31 Octo	Action Detail:				Action Detail:				
Search event			Close			Close			
Action		♦ Event Date		Statu	s 🔶 Clock Inf	formation			
Request Cat A E	xec Review*	his to view <sub>01/11/2023</sub> 15:31 C Comment	C	Э	0/0 days ela	apsed.			
Request Cat C E	Exec Review* Click 1	this to view <sub>01/11/2023</sub> 13:14 mments	C	Э	0/0 days ela	apsed.			

 Click on the Review Application button on the side panel to review the minor modification submission. <u>Note #1</u>: The steps to review a minor modification submission are the same as that of a new application, please refer to Steps 3 – 11 of the <u>Reviewing a new application in iRECS</u> instruction set for review instructions.

<u>Note #2</u>: Keep in mind that if you are reviewing a minor modification <u>resubmission</u>, you will see track changes in revised sections of the form.



4. Once you have completed your review, click on the **Timeline** button in the left-hand panel to navigate to the **Project Timeline/Overview page**.



5. Once you have landed on the Project Timeline/Overview page, click on the **Recommendation to Chair** button on the side panel to <u>relay the submission to the Chair</u>\* for review. In the pop-up, <u>leave your comments</u> to the Chair in the Timeline Notes section, then click on the green <u>Recommendation to Chair button</u> to complete the process.

\*<u>Note</u>: *iRECS* requires the Executives to conduct their review sequentially, after which, the Chair review will take place, i.e. Category C Executive will conduct his review first, followed by Category A Executive. The Chair review will then follow. Your comments can be accessed by all Executive Committee members.

	Recommendation to Cha	ir		х
1.	Action Date:	Now	~	
Recommendation to Chair	Timeline Notes: 2.	Enter your comments to the Chair here.	ß	
			3. Recom	mendation to Chair Close

# 6. Review Complete!

<u>Note</u>: The Chair will receive an email asking him/her to log into iRECS to review the minor modification submission. Once the Chair has relayed the recommended outcome to the Animal Ethics team, the team will help process and relay the relevant outcome to the applicant.

# **Reviewing a Minor Modification Submission/Resubmission – Chair**

Once the Category A Executive has relayed the minor modification submission to you (Chair) for review, you
will receive the following notification from <donotreply@infonetica.net>. Click on the hyperlink to begin
the review process.

From:	ERM <donotreply@infonetica.net></donotreply@infonetica.net>
То:	<ae.chair@irecs.com></ae.chair@irecs.com>
CC:	
Subject:	iRECS5865 - iRECS Submission Assigned for Chair Review

Dear Assigned Chair,

Reference Number: iRECS5865 Project Title: Minor Mod Workflow Example Chief Investigator: Dr Cecilia Ting Submission Type:

The above submission was reviewed by the Spokesperson and the Category C and A Executives and has now been **assigned to you for review**. Please login to iRECS to review the above application. You can access this submission using the following line <a href="https://unswtest.review.ethicalreviewmanager.com/Timeline/Index/17111">https://unswtest.review.ethicalreviewmanager.com/Timeline/Index/17111</a>.

If you have a conflict of interest against the submission, please log this on iRECS (via the 'Register Conflict' button on the left-hand panel of the iRECS application page).

Please complete your review and make your recommendation as soon as possible.

Kind regards,

Research Ethics & Compliance Support (RECS) UNSW Sydney NSW 2052 AUSTRALIA E: animalethics@unsw.edu.au P: https://research.unsw.edu.au/contact-us

<u>Alternatively</u>, you can **log into the <u>iRECS Reviewer portal</u>** and access your assigned submission via the **My Reviews** tile in your Work Area.



#### Click on any part of the submission to navigate into the project.

↓i	J↑	Review Reference	.↓↑	Application Type	↓↑	Review Submission Date	↓↑	Project Id	↓↑	Project Title	.↓↑	Applicant Title	↓†	Applicant First Name	↓†	Applicant Last Name
~		iRECS5865		Animal Ethics Modification		01/11/2023 11:53		5865		Minor Mod Workflow Example		Dr		Animal		Ethics

 Once you have landed on the Project Overview page, you can view the SP, Category C Executive and Cat A <u>Executive comments</u> by clicking on the Request Cat C Exec Review (to view SP comment), Request Cat A Exec Review (to view Cat C Exec comment) and Recommendation to Chair (to view Cat A Exec comment) timeline actions below. Click Close to close the pop-ups.

Timeline	Proj	ject I	Documents	Data	Contacts	Time KF	ls		
🕀 Zoom In	Event	Informa	ation		×		Event Info	ormation	×
	Event: Start Date Recorded Clock Acti Status Bef	: Date: ion: fore: ter:	Recommendat Wednesday, Ni Wednesday, Ni None Pending Execu Pending Chair	on to Chair ovember 1, 2023 ovember 1, 2023 tive A Recommendation Recommendation		-	Event: Start Date: Recorded Date: Clock Action: Status Before: Status After: Attachment:	Request Cat A Exec Revie Wednesday, November 1, Wednesday, November 1, None Pending Executive C Reco	ew 2023 2023 ommendation ommendation
00 Tuesday 31 Oc	Performed Timeline N Action Def	d By: Notes: tail:	Cat A Exec N Cat A Exec c	lame omment will appear	here		Performed By: Timeline Notes: Action Detail:	Cat C Exec Name Cat C Exec Commen	t will appear here
Action		-	Ε	ent Date	Close	lock St	atura	Clock Information	
Recommendation	to Chair*	lick to view	mments 01/	11/200 ID:52	¥ C	C		0/0 days elapsed.	
Request Cat A Exe	c Review*	lick to view	01/	11/2023 15:31		C	)	0/0 days elapsed.	
Request Cat C Exe	c Review*	Click to viev comments	v SP 01/	11/2023 13:14		Ċ	)	0/0 days elapsed.	
Event Inf	formatic	on		×	].				
Event:	Re	equest Cat C Ex	kec Review		-				
Start Date:	We	ednesday, Nove	ember 1, 2023						
Recorded Date:	: We	ednesday, Nove	ember 1, 2023						
Status Before:	Un	nder Review (M	inor Mod)						
Status After:	Pe	ending Executiv	e C Recommend	ation					
Attachment:									
Performed By:	SF	P Name							
Timeline Notes	SP	P Comments	will appear he	ere					
Action Detail:				Close					
				0.000	<u> </u>				

 Click on the Review Application button on the side panel to review the minor modification submission. <u>Note #1</u>: The steps to review a minor modification submission are the same as that of a new application, please refer to Steps 3 – 11 of the <u>Reviewing a new application in iRECS</u> instruction set for review instructions.

<u>Note #2</u>: Keep in mind that if you are reviewing a minor modification <u>resubmission</u>, you will see track changes in revised sections of the form.



4. Once you have completed your review, click on the Timeline button in the left-hand panel to navigate to the Project Timeline/Overview page.

Timeline	

5. On the Project Overview page, click on one of the following recommendation buttons based on your (Chair) assessment. Confirm your selection in the subsequent pop up.

Note: Upon confirming your recommendation selection, the Animal Ethics (AE) team will process the recommended outcome (+ review any reviewer comments) before relaying the relevant outcome to the researcher.



Recommend			×
	Action Date:	Now	
	Timeline Notes:		
		Timeline notes are not shared with Researchers	
		Confirm your recommendation by clicking on the green button in the pop-up.	
		2. Recommend More than Minor Close	:

#### 6. Review Complete!

Note: The Animal Ethics team will help process and relay the relevant outcome to the applicant. In the instance where a "Recommend More than Minor Modification" outcome is relayed, the AE team will first liaise with the CI to confirm that they wish to proceed with the more than minor modification recommendation. Once they have agreed to proceed, the AE team will assign the modification to the relevant Committee meeting for review.

# **Reviewing a More than Minor Modification**

The <u>instruction to review a more than minor modification submission should be similar to that of a new application</u> - noting that the Executive Committee should have already reviewed a more than minor modification submission via the minor modification pathway (the Executive Committee's comments should remain visible against the submission), thus, you, the SP, can review the submission to see if any additional comments need to be made against the submission prior to the Committee meeting.

# Instructions:

Reviewing a More than Minor Modification <u>Submission</u>: <u>Reviewing a new application in iRECS</u>

Reviewing More than Minor Modification Deferred Resubmission: Reviewing a Deferred Resubmission

Reviewing More than Minor Modification <u>Subject To Resubmission</u>: <u>Reviewing a Subject To Resubmission</u>

# **Reviewing Animal Ethics Reports**

#### Annual Compliance and Animal Usage Report – to be ratified by the Main Committee

 The Annual Compliance and Animal Usage Reports that are <u>pending ratification</u> will appear in the relevant meeting **Agenda section** as follows (actual text may vary). Click on the relevant **Review Link** to view the submitted Annual Compliance and Animal Usage Report.

#### Annual Compliance and Animal Usage Reports

For ratification

R	teview Link	Project Title	Chief Investigator	Spokesperson	Review Outcome
iI	RECS4692	AE_Sample App A	Dr Animal Ethics	Dr AE SP	Noted
iI	RECS4788	AE_Sample App B	Dr Animal Ethics	Dr AE SP	Noted
iI	RECS4728	AE_Sample App C	Dr Animal Ethics	Dr AE SP	Noted
iI	RECS4732	AE_Sample App D	Dr Animal Ethics	Dr AE SP	Noted

#### Report on Existing Project Conditions / Unexpected Adverse Event Report / Protocol Deviation

1. The assigned reports that are <u>pending Main Committee review</u> will appear in the relevant **ACEC Main Meeting Agenda** sections as follows. Click on the relevant **Review Link** to view the submitted reports.

#### 5. Post approval Conditions

Presented by Prof. Richard Lock

Review Link	Project Title	Chief Investigator	Spokesperson	Submitted Condition
iRECS4746	Donec sodales sagittis magna	Dr Animal Ethics	Dr Warwick Prowse	Breeding Report
iRECS4685	AE_New App_RECS Approve_DVCRE Approve	Dr Animal Ethics	Dr Lindsay Wu	Tolerability Study

#### 6. Adverse Events

Presented by Prof. Richard Lock

Review Link	Project Title	Chief Investigator	Report Date	Incident Date
iRECS4685	AE_New App_RECS Approve_DVCRE Approve	Dr Animal Ethics	15/09/2023	12/09/2023

#### 7. Protocol Deviations

Presented by Prof. Richard Lock

Review Link	Project Title	Chief Investigator
iRECS4732	Donec pede justo fringilla vel aliquet nec	Dr Animal Ethics

2. Once you are on the **Report Overview** page, click on the **Review Application** button on the side panel to review the report.

**Note #1**: The steps to review a submitted report are similar to that of a new application (see **Steps 3 – 11** of the **Reviewing a new application in iRECS** instruction set).

<u>Note #2</u>: Keep in mind that if you are reviewing a <u>resubmitted report</u>, you will see track changes in revised sections of the report.

<u>Note #3</u>: You are not required to leave comments against a submitted report. You can if you wish to do so, but it is <u>optional</u>.



- 3. **Review complete**! Once you have completed your review, you can return to the **Meetings** or **Work Area** page.
- 4. The Animal Ethics team will action on the outcome (Noted or Subject To) relayed by the Main Committee and relay the outcome to the applicant.